

TRANSMITTAL FORM
(to be used for all correspondence after initial filing)

Application No. 10/749,987

Filing Date December 31, 2003

First Named Inventor Neil J. Bershad

Art Unit 2611

Examiner Name Phuong M. Phu

Total Number of Pages in This Submission 6 Attorney Docket Number 42P17667

ENCLOSURES (check all that apply)						
Fee Transmittal Form		Drawing(s)		After Allowance Communication to TC		
Fee Attach	ned	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Petition		Appeal Communication to TC Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request		Petition to Convert a Provisional Application		Proprietary Information		
				Status Letter		
				Other Enclosure(s) (please identify below):		
Information Disclosure Statement		Request for Refund		es of cited references		
PTO/SB/08		CD, Number of CD(s)				
Certified Copy of Priority Document(s)		Landscape Table on CD				
Response to Miss Incomplete Applie	sing Parts/ cation	Remarks				
Basic Filing Fee Declaration/POA		Hemarks				
Response Parts unde 1.52 or 1.5	to Missing er 37 CFR 33					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm	Dicht L. Vecchia, Reg. 140, 46,011					
Individual name	or					
Signature Rent E. Veahie						
Date November 20, 2007						
CERTIFICATE OF MAILING/TRANSMISSION						
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.						
Typed or printed name	ne Wendi Lou F	Rostan				
Signature	1 Wande	All South D	ate	November 20, 2007		



## FEE TRANSMITTAL for FY 2007

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.2					
	TOTAL AMOUNT OF PAYMENT	(\$)	180.00		

Complete if Known					
Application Number	10/749,987				
Filing Date	December 31, 2003				
First Named Inventor	Neil J. Bershad				
Examiner Name	Phuong M. Phu				
Art Unit	2611				
Attorney Docket No.	42P17667				

METHOI	METHOD OF PAYMENT (check all that apply)						
□ Check □ Credit card □ Money Order □ None □ Other (please identify):							
_	Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP						
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee							
Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.							
FEE CALCULATION							
			_				
	Large Entity Small Entity						
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
1051	(Ψ) 130	2051	, ,	Surabarga lata filing foo ar aath			
1052	50	2052		Surcharge - late filing fee or oath			
2053	130	2053		Surcharge - late provisional filing fee or cover sheet.  Non-English specification			
1251	120	2251		•			
1252	460	2252		Extension for reply within first month			
1253	1,050	2253		Extension for reply within second month			
1254	1,640	2254		Extension for reply within third month			
1255	2,230	2255		Extension for reply within fourth month			
1401	510	2401		extension for reply within fifth month			
1401	510	2401		Notice of Appeal			
1402	1,030	2402	255	Filing a brief in support of an appeal			
1451	1,510	2403		Request for oral hearing			
1460	130	2460		Petition to institute a public use proceeding			
1807	50	1807		Petitions to the Commissioner			
				Processing fee under 37 CFR 1.17(q)			
1806	180	1806		Submission of Information Disclosure Stmt	180.00		
1809	810	1809		Filing a submission after final rejection (37 CFR § 1.129(a))			
1810	810	2810	405	For each additional invention to be examined (37 CFR § 1.129(b))			
Other f	ee (spe	cify) _					
				SUBTOTAL (2) (\$)	180.00		

SUBMITTED BY					Complete (if applicable)	
Name (Print/Type)	Brent E. Vecchia	Registration No. (Attorney/Agent)	48,011	Telephone	(303) 740-1980	
Signature	Ment E. Veeche			Date	11/20/07	

NOV 2 3 7007 8

Docket No.: 42P17667

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

NEIL J. BERSHAD, ET AL.

Application No.: 10/749,987

Filed: December 31, 2003

For: DUAL ADAPTIVE FILTER APPARATUS

AND METHOD

Art Group: 2611

Examiner: Phuong M. Phu

Confirmation No.: 9976

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 20, 2007

Brent E. Vecchia, Reg. No. 48,011

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Wendi Lou Rostan

Date

11-20-07

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